

APPENDIX 2C - REQUIRED DOCUMENTS

REQUIRED DOCUMENTS FOR PRIMARY MEMBERSHIP APPLICATION

Entities wishing to apply to become a Primary Member of CCP12 must submit an official letter request to the Association (as per Appendix 1A) accompanied by the following documents in English:

1. Certification or other evidence that the applicant has received authorisation from the relevant regulatory entities of their country(ies) of domicile, to act as a Central Counterparty;
2. A broad summary of the entity and specifically of its CCP activities. In order to promote greater standardisation of the applications submitted, the CPSS/IOSCO Principles for Financial Market Infrastructures should be used as a reference document to guide the applicant in relation to topics to be addressed.
3. A list of the entity's main Board Members and Executive Officers, including their names, a brief biography and titles.
4. Key contact and address details for the entity, including the nominated liaison person for CCP12 membership application matters, and nomination of a Member Representative for ongoing CCP12 business. Primary Members should note the ability of their Member Representative to bind the Primary Member to commitments made on their behalf.

REQUIRED DOCUMENTS FOR OBSERVER MEMBERSHIP APPLICATION

Entities applying to become an Observer Member of CCP12 must submit an official letter request to the Association (as per Appendix 2B) accompanied by the following documents in English:

1. Business Licenses, or Certificate of Incorporation or other evidence that the applicant has received from the relevant registration or regulatory entities of their country(ies) of domicile;
2. A broad summary of the entity and its activities related to CCP. In order to promote greater standardisation of the applications submitted, the CPSS/IOSCO Principles for Financial Market Infrastructures should be used as a reference document to guide the applicant in relation to topics to be addressed.

3. A list of the entity's main Board Members and Executive Officers, including their names, a brief biography and titles.
4. Key contact and address details for the entity, including the nominated liaison person for CCP12 membership application matters, and nomination of a Member Representative for ongoing CCP12 business. Observer Members should note the ability of their Member Representative to bind the Observer Member to commitments made on their behalf.